

United States Patent and Trademark Office

UNITED STATES DEPARTMENT OF COMMERCE United States Patent and Trademark Office Address: COMMISSIONER FOR PATENTS P.O. Box 1450 Alexandria, Virginia 22313-1450 www.uspto.gov

APPLICATION NO.	FILING DATE	FIRST NAMED INVENTOR	ATTORNEY DOCKET NO.	CONFIRMATION NO.
09/289,550	04/09/1999	RICHARD W. FRIESEN	3854	8747
7590 01/30/2006			EXAMINER	
Mr. Steven Borsand			KYLE, CHARLES R	
Vice President I	ntellectual Property			
222 South Riverside Plaza, Suite 1100			ART UNIT	PAPER NUMBER
Trading Technology International, Inc.			3624	
Chicago, IL 60606				

DATE MAILED: 01/30/2006

Please find below and/or attached an Office communication concerning this application or proceeding.

Application Number	Application/Cont	Application/Control No.		Applicant(s)/Patent under Reexamination			
	09/289,550	09/289,550		FRIESEN ET AL. Art Unit			
	Vincent Millin		3624				
Document Code - AP.PRE.DEC							
Notice of Panel Decision from Pre-Appeal Brief Review							
This is in response to the Pre-Appeal Brief Request for Review filed 10/19/05.							
1. Improper Request – The Request is improper and a conference will not be held for the following reason(s):							
 ☐ The Notice of Appeal has not been filed concurrent with the Pre-Appeal Brief Request. ☐ The request does not include reasons why a review is appropriate. ☐ A proposed amendment is included with the Pre-Appeal Brief request. ☐ Other: . 							
The time period for filing a response continues to run from the receipt date of the Notice of Appeal or from the mail date of the last Office communication, if no Notice of Appeal has been received.							
2. Proceed to Board of Paten held. The application remains und is required to submit an appeal bri brief will be reset to be one month	er appeal because t ef in accordance wit	here is at leas h 37 CFR 41.	st one actual issue f .37. The time period	or appeal. Applicant for filing an appeal			

B. ∟ Allowable application – A conference has been held. The reje	ection is withdrawn and a Notice of
Allowance will be mailed. Prosecution on the merits remains closed.	No further action is required by
applicant at this time.	

running from the receipt of the notice of appeal, whichever is greater. Further, the time period for filing of the appeal brief is extendible under 37 CFR 1.136 based upon the mail date of this decision or the receipt date

The panel has determined the status of the claim(s) is as follows:

Claim(s) rejected: <u>1,2,4,10-1`2,16,18,,28, and 61-98</u>. Claim(s) withdrawn from consideration: _____.

4. Reopen Prosecution – A conference has been held. The rejection is withdrawn and a new Office action will be mailed. No further action is required by applicant at this time.

All participants:

of the notice of appeal, as applicable.

Claim(s) allowed: ____ Claim(s) objected to:

(1) <u>Vincent Millin</u>.

(2) Charles Kyle.

(3)Richard Weisberger.

(4)____.